
Haringey Schools Forum

WEDNESDAY 17 JANUARY 2018 AT 15:45 HRS FOR 16:00 HRS – PROFESSIONAL DEVELOPMENT CENTRE, DOWNHILLS PARK ROAD, TOTTENHAM, LONDON, N17 6AR

AGENDA

1. CHAIR'S WELCOME

2. APOLOGIES AND SUBSTITUTE MEMBERS

Clerk to report.

3. DECLARATIONS OF INTEREST

Declarations are only required where an individual member of the Forum has a pecuniary interest in an item on the agenda.

4. MINUTES OF THE MEETINGS OF 7 DECEMBER 2017 (PAGES 1 - 6)

5. MATTERS ARISING

6. DEDICATED SCHOOLS GRANT

7. EARLY YEARS BLOCK 2018-19 BUDGET (PAGES 7 - 24)

8. HIGH NEEDS BLOCK 2018- 19 BUDGET (PAGES 25 - 44)

9. ARRANGEMENTS FOR THE USE OF PUPIL REFERRAL UNITS AND THE EDUCATION OF CHILDREN OTHERWISE THAN AT SCHOOL AND REPORT ON THE TUITION SERVICE REVIEW (PAGES 45 - 58)

10. EARLY HELP AND PREVENTATIVE SERVICES UPDATE (PAGES 59 - 64)

11. WORK PLAN 2017/18 (PAGES 65 - 66)

To inform the Forum of the proposed work plan for 2017-18 and provide members with an opportunity to add additional items.

12. UPDATE FROM WORKING PARTIES (PAGES 67 - 70)

Briefing paper for Joint Schools Forum Working Group meeting on the 8th January 2017

13. ANY OTHER URGENT BUSINESS

14. DATE OF FUTURE MEETINGS

- **22 February 2018**
- **17 May 2018**
- **12 July 2018**

**MINUTES OF THE SCHOOLS FORUM MEETING
THURSDAY 07 DECEMBER 2017**

Schools Members:**Headteachers:****Special (1)**

*Martin Doyle (Riverside)

Nursery Schools (1)

*Peter Catling (Woodlands Park)

Primary (7)

Adrian Hall (Rhodes Avenue)

*Dawn Ferdinand, (The Willow)

*Julie D'Abreu (Devonshire Hill)

*Will Wawn (Bounds Green)

Andy Webster (Parkview)

*Cal Shaw (Stroud Green)

Fran Hargrove (St Mary's CE)

Emma Murray (Seven Sisters)

Secondary (2)**Primary Academy (1)**

*Sharon Easton (St Paul's and All Hallows)

*Tony Hartney (Gladesmore)

Secondary Academies (2)

*Elma McElligott/ (Woodside)

*Michael McKenzie (Alexandra Park)

Alternative Provision

Vacancy

Governors:**Special (1)**

*Jean Brown (The Vale)

Children's Centres (1)

*Melian Mansfield (Pembury)

Primary (7)

Jenny Thomas (Lordship Lane)

*John Keever (Seven Sisters)

*Laura Butterfield (Coldfall)

Zena Brabazon (Seven Sisters)

(A)Andreas Adamides (Stamford Hill)

Hannah D'Aguiar (Chestnuts Primary)

*Lorna Walker (Rokesly Infants)

Secondary (3)

* Johanna Hinshelwood (Hornsey Girls)

*Vacancy

*Terry O'Sullivan (XX)

Primary Academy (1)

(A)Natasha Lewis (St Ann's)

Secondary Academies (2)

Vacancy@ 2

Non School Members:-**Non – Executive Councillor**

*Cllr Ann Waters

Professional Association Representative

Ed Harlow

Trade Union Representative

*Pat Forward

14-19 Partnership

*Russ Lawrence

Early Years Providers

*Susan Tudor-Hart

Faith Schools

*Geraldine Gallagher

Pupil Referral Unit

(A) Clare Macdonald

Observers: -**Cabinet Member for CYPS**

*Cllr Elin Weston

Also attending:

LBH Interim Director of Children's Services

Margaret Dennison

LBH Joint Assistant Director, Schools and Learning

James Page

LBH Joint Assistant Director, Schools and Learning

Eveleen Riordan

LBH Senior Business Partner

Paul Durrant

LBH Finance Business Partner (Schools and Learning)

Yoke O'Brien

LBH Principal Education Welfare Officer

Michael Welton

LBH Governance Services Manager

Carolyn Banks

Haringey Clerk (minutes)

Jonathan Adamides-Vellapah

* Members present

(A) Apologies given

**MINUTES OF THE SCHOOLS FORUM MEETING
THURSDAY 19 OCTOBER 2017**

MINUTE No.	SUBJECT/DECISION	ACTION BY
1.	CHAIR'S WELCOME	
1.1	The Chair welcomed all members and attendees to the meeting. The Clerk introduced new Forum governor members.	
2.	APOLOGIES AND SUBSTITUTE MEMBERS	
2.1	Apologies: Received and accepted.	
2.2	Substitutions: Chris Lambert for Andy Webster.	
3.	DECLARATION OF INTEREST	
3.1	Pat Forward declared an interest in item: 6, recommendation 9 & 10 – Trade Union Facilities Time.	
4.	MINUTES OF THE MEETINGS 19 OCTOBER 2017 AND 14 NOVEMBER 17	
4.1	The minutes of the 19 October 2017 were approved.	
4.2	The minutes of the 14 November 2017 were approved subject to an amendment on page 3 to clarify that 5/12 is to cover restructuring.	
5.	MATTERS ARISING 19 OCTOBER 2017	
5.1	The matters arising were completed.	
5a.	MATTERS ARISING 14 NOVEMBER 17	
	The matters arising were completed.	
6.	DEDICATED SCHOOLS BUDGET STRATEGY 2018/19	
6.1	The Finance Business Partner for Schools and Learning (Yoke O'Brien) presented the paper and advised on the responses and outcomes from the consultation, which the favoured option was C (see resolved scenario 1).	
6.2	The Forum was advised that any variation to the agreed Department for Education caps, will require approval from the Secretary of State for Education (SoS). The date for applying has passed (30 November 17) on looking to exceed the approved variations.	
6.3	The Forum understood that since the consultation and work has commenced on running the new formula and seeing the outcomes, there is the possibility of another scenario of ensuring the maximum possible for schools, the Schools Block, and the High Needs Block with and remaining funds transferred to the Growth Fund.	
6.4	Members discussed the merits of funding the High Needs Block at a higher level, reflecting on the current situation High Needs Overspend and the inadvertent support required from the Early Years Block to help resolve the financial position. The Forum agreed that additional funding to the High Needs Block did not go against the spirit of the consultation as the proposal was to add an additional 0.25%. (see resolved scenario 2)	

**MINUTES OF THE SCHOOLS FORUM MEETING
THURSDAY 19 OCTOBER 2017**

	<p>RESOLVED: The Forum agreed and approved to run two scenarios:</p> <ul style="list-style-type: none"> • Scenario 1 as outlined in the recommendation from the consultation and if all funding levels have been achieved to run Scenario 2. • Scenario 2 as outlined in Scenario 1, with modification that the High Needs block will receive 0.5% and the remaining funds will be put into the growth fund. The use of the growth funds will be reviewed at a later date. 	
	<p>RESOLVED: The Forum approved Scenario 2 as the Schools Funding Formula for 2018/19, should all the criteria in Scenario 1 be exceeded.</p>	
	<p>RESOLVED – SCENARIO 1: The Schools Forum approved the following:</p> <ul style="list-style-type: none"> a) The central retention of £600,000 for the Growth Fund from the Schools Block. b) The transfer of 0.25% from the Schools Block to the High Needs Block. c) The use of +0.5% or lower Minimum Funding Guarantee (MFG) in the 2018/19 Schools Funding Formula. d) The de-delegation of funds from maintained schools post MFG Budget as outlined in the report. 	
	<p>RESOLVED – SCENARIO 2: The Schools Forum approved the following:</p> <ul style="list-style-type: none"> a) The use of +0.5% (or lower) Minimum Funding Guarantee (MFG) in the 2018/19 Schools Funding Formula b) the transfer of 0.5% from the Schools Block to the High Needs Block. c) The central retention of £600,000 for the Growth Fund from the Schools Block. d) The central retention of remaining funds into the Growth Fund from the Schools Block, with the criteria reviewed at a later date. e) The de-delegation of funds from maintained schools post MFG Budget as outlined in the report. 	
6.5	<p>De-delegation of funds from maintained schools Post Minimum Funding Guarantee</p> <p>The Forum discussed and reviewed the recommendations from the de-delegation of funds. The Forum were advised that voting was restricted to eligible members only and will be held separately for Primary and Secondary.</p>	
6.6	<p>Attendance and Welfare Service</p> <p>The Forum noted that the request for de-delegation from Primary and Secondary has been deferred and will come back in the January 2018 meeting.</p> <p>RESOLVED: Forum members representing primary and secondary maintained schools agreed for the de-delegation request for the Attendance and Welfare Service to be taken at the January 2018 meeting.</p>	YO'Brien

**MINUTES OF THE SCHOOLS FORUM MEETING
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6.7	<p>Schools In Financial Difficulties 2018/19 The Forum discussed the request for de-delegation and noted that the support agreed for 2017/18 will be in place shortly. Applications from school to the 2017/18 fund will close on the 5 January 2018. The applications will then be reviewed by the panel and approved accordingly.</p> <p>RESOLVED: Forum members representing the Primary and Secondary approved the following:</p> <ul style="list-style-type: none"> • Members representing Primary maintained schools agreed to de-delegate a Contingency for Schools in Financial Difficulty. • Members representing Secondary maintained schools agreed to de-delegate a Contingency for Schools in Financial Difficulty. <p>Approve</p>	
6.8	<p>Trade Union Facilities Time The Forum discussed the request for de-delegation and noted that the sixth forms are not included. The paper noted that the contract agreed in 2016/17 remains the same, academies will be invited to participate at the agreed Age Weighted Pupil Unit (AWPU) rate.</p> <p>RESOLVED: Forum members representing the Primary and Secondary approved the following:</p> <ul style="list-style-type: none"> • Members representing primary maintained schools agreed to de-delegate funding for Trade Union Facilities Time. • Members representing secondary maintained schools agreed to de-delegate funding for Trade Union Facilities Time. 	
7.	<p>DEDICATED SCHOOLS GRANT STRATEGY – CENTRAL SCHOOL SERVICES BLOCK</p>	
7.1	<p>The Forum reviewed the planned expenditure for 2018/19, which has been reduced by £80k. Members discussed the total allocation to Early Help, which includes the allocation from the High Needs Block. Members were advised that the allocations to Early Help are a valued resource and have shown impact.</p>	
	<p>RESOLVED: The Schools Forum agreed the planned expenditure through the Central School Services Block for the financial year 2018/19.</p>	
8.	<p>ARRANGEMENT FOR THE USE OF PUPIL REFERRAL UNIT AND THE EDUCATION OF CHILDREN OTHERWISE THAN AT SCHOOL</p>	
8.1	<p>The Forum noted that the paper has been withdrawn.</p>	
9.	<p>UPDATE ON FUTURE OF THE PROFESSIONAL DEVELOPMENT CENTRE (PDC)</p>	
9.1	<p>The Forum received the update from the Joint Assistant Director, Schools and Learning (Eveleen Riordan) following a request from the 14 November 2017 meeting. The paper outlined the following:</p> <ul style="list-style-type: none"> • The PDC will be vacated by all occupants by April 2018 • The PDC has been disposed via a 125-year lease via the Department for Communities and Local Government. to establish The Grove Free 	

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	<p>School via the Heartlands Community Trust for Children with Autistic Spectrum Disorder. There will be 104 places available commissioned by the Local Authority.</p> <ul style="list-style-type: none"> • From April 2018, Traded Services will be delivered via a dedicated space on the first floor of Alexandra House. The contingency plan is to use space at Stroud Green Primary School, should Alexandra House not be available. • A link to the full Cabinet paper had been included for all members to review. 	
9.2	Members discussed the disposal and noted the future plans.	
10.	WORK PLAN 2017/18	
	The Forum noted the Work Plan.	
11.	ANY OTHER URGENT BUSINESS	
	As there was no further business the meeting closed.	
12.	DATES OF FUTURE MEETINGS	
	<ul style="list-style-type: none"> • 17 January 2018 • 22 February 2018 • 17 May 2018 • 12 July 2018 	

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**Agenda Item
7**

Report Status

For information/note x
 For consultation & views
 For decision x

Commissioning

Report to Haringey Schools Forum – 17th January 2018

Report Title: Early Years Block Funding Paper

Author: Ngozi Anuforo, Head of Early Help Commissioning & Culture

Contact: 0208 489 4681 Email: Ngozi.anuforo@haringey.gov.uk

Purpose: To provide Schools Forum members with an overview of the key activities and challenges in 2017-18 and inform them of the 2018-19 budget proposals for the Early Years Block

Recommendations:

1. That Schools Forum notes the indicative funding for the Early Years Block in 2018-19, set out at 5.1.
2. That Schools Forum agrees the proposed allocation of the Early Years Block for 2018-19 as set out in 5.5 of this report.
3. That Schools Forum notes and agrees the proposed budget allocation for centrally retained funds for 2018-19 as set out in 4.9 and Appendix 1 of this report.
4. That Schools Forum notes the challenges and priority actions for 2018-19.

1. Introduction

- 1.1 This paper provides Schools Forum with an overview of how Haringey's early years block funding was deployed in the 2017-18 financial year. The paper also sets out proposals for the use of the Early Years Block (EYB), contained within Haringey's Dedicated Schools Grant (DSG) allocation for 2018-19.
- 1.2 It is a requirement of the School and Early Years Finance Regulations that the Schools Forum agrees proposals for the use of Early Years DSG centrally retained budgets.

2. The Strategic Context for the Early Years in Haringey

- 2.1 Proposals for the use of the EYB within DSG are shaped by statutory requirements derived from the Childcare Act 2006, and the strategic aims of Haringey Council as set out in its Corporate Plan.
- 2.2 A key priority for the Council is improving opportunities for children to thrive and achieving better outcomes for children, young people and families.
- 2.3 We need to ensure that all young children, regardless of circumstance or where they live within the borough, are able to access the opportunities and support they need to develop well and become confident learners by the time they reach statutory school age.
- 2.4 It is intended that the use of the EYB is underpinned by Haringey's overarching strategic aim for children and young people and the strategic priorities set out below:
- Delivering prevention and early intervention to reduce escalation of need
 - Increasing equity of access to quality provision for all children young people and families
 - Improving and sustaining resilience amongst children. Young people and families
 - Developing the workforce to be more confident and empowered practitioners
 - Enhancing access to, and coordination of, integrated services

3. The National Context: Key Changes for 2017-18

3.1 A National Funding Formula for Early Years

In December 2016, the Government introduced a new Early Years National Funding Formula for 3 and 4 year olds, which meant that,

from April 2017, Local Authorities continued to be funded through the early years block in the Dedicated Schools Grant (DSG) but with a number of new requirements on how local authorities are able to allocate funding to providers from 2017-18. These requirements are intended to ensure that funding is fairly distributed to providers.

The main changes were:

- A minimum amount of funding to be passed through to providers.
- A local universal base rate for all types of provider, to be set by local authorities by 2019-20 at the latest.
- Supplementary funding for maintained nursery schools, for the duration of this Parliament.
- Reforms to mandatory and discretionary supplements local authorities are able to use.
- The introduction of a disability access fund.
- A requirement for authorities to establish a special educational needs inclusion fund.

3.2 The Three and Four-Year-Old Offer

3.2.1 From September 2017, a new, statutory 30-hour free entitlement was rolled out nationally, providing up to an additional 15 hours of free early education for working. This offer is now available alongside the existing universal 15- hour free entitlement, which continues unchanged.

3.2.2 Eligible families can access **up to** a maximum of 15 hours per week/570 hours per year, in addition to the existing universal 15 hours of free early education.

Eligibility will include households where:

- Both parents are working
- One parent is working in lone parent family
- Parental earning is equivalent to 16 hours a week on National Minimum Wage (currently £107 per week, including those receiving tax credits or Universal Credit) up to a maximum earning limit of £100K for per parent
- One/both parent/s is away from work on leave (parental, maternal etc.)
- One/both parent/s receiving Statutory Sick Pay
- Working – employed or self employed
- Zero hour contracts – calculated on average earnings

3.2.3 To access the 30-hour offer, Parents apply, and are issued with an eligibility code from HMRC which is presented to settings offering 30 hour places. So far, 86% of the codes issued have been successfully

validated by the Council. The difference in number may mean that some parents have chosen not to use the entitlement or may need to wait until January for their child to start in a setting, so are yet to present the code.

3.3 The Two-Year-Old Offer

3.3.1 Local authorities have a statutory duty to provide 15 hours per week of free early education for all eligible two year olds. Eligibility is limited to those children who meet the following criteria:

- Children whose parents would be eligible to claim for Free School Meals (FSM)
- Looked After Children (LAC)
- Families receiving Working Tax Credits and have annual gross earnings of no more than £16,190 a year
- Children receiving a current statement of Special Educational Needs or an education, health and care plan
- Children attracting Disability Living Allowance
- Children leaving care through special guardianship or through an adoption or residence order

3.3.2 The Table below shows the changing profile for eligible numbers of children since the introduction of this statutory entitlement.

Table1: Haringey's changing eligibility profile since 2013.

Academic year	DfE/DWP Estimate for potentially eligible children
2013-14	891
2014-15	1790
2015-16	1710
2016-17	1620
2017-18	1500

3.3.3 It should be noted that the estimates provided by DfE/DWP are a broad indication of the numbers of potentially eligible children in the borough and do not have a bearing on the allocation of funding provided to the Council to provide the offer. The allocation of funding within the Early Years Block of DSG for the 2-year-old offer is determined by the January headcount figures collected via the census and to that end, do not accurately reflect the actual numbers of 2-year-old children accessing a 2-year-old across each academic year. A priority action going forward is for us to narrow the gap between our recorded count of actual participants in the programme and the numbers recorded at census; ensuring that the DfE have this data and that the Council receive funding for the programme at the appropriate levels.

3.3.4 From April 2013 to March 2017, Haringey Council was funded by DfE at a rate of **£5.28** per hour for a two-year-old place. This funded rate increased from April 2017 to **£5.66** per hour.

3.4 SEND in the Early Years

3.4.1 In addition to delivering the early years free entitlement, it was mandated that, from April 2017, all local authorities introduced an *Early Years Inclusion Fund* to support 3 & 4 years old children, with special educational needs below the threshold for Education, Health and Care Plans (EHCPs), to access their free entitlement. In Haringey, the Early Years Inclusion Fund is provided via an allocation from the HNB, as part of the Council meeting its statutory obligations.

4. Early Years Block Funding: 2017-18

4.1 The Early Years Block is provided for the Council to meet its statutory duties under the Childcare Act 2006 and is expected to fund both existing and new aspects of early education place delivery from 2017-18:

- The Free Early Education Entitlement for all three and four year olds through an Early Years Single Funding Formula (EYSFF)
- The free entitlement for eligible two year olds
- The Early Years Pupil Premium
- Disability Access Fund
- Support for Maintained Nursery Schools

4.2 The total amount of funding within this block is determined by two elements, which generate the funding set out in the table below.

The elements in 2017-18 are:

- The Full Time Equivalent (FTE) number of three and four year olds recorded in the January censuses multiplied by **£5,345.46**.
- The Full Time Equivalent (FTE) number of two years olds recorded in the January censuses funded by DfE at £5.66 per hour.
- Both the January census preceding the start of the financial year and the January census during the financial year are used to determine the DSG, with any resulting adjustments being made to the allocation.

- 4.3 The Department for Education (DfE) notified Haringey Council of its early years block funding allocations for 2017-18 and 2018-19. It should be noted that these were indicative allocations and final allocations for financial years will be confirmed by DfE in the August of the subsequent financial years.

Funding Stream	2017-18 Indicative Allocation (£m)
3 & 4 YO Universal Free Entitlement (15hr)	12.70
3 & 4 YO Extended Free Entitlement (Additional 15hrs)	2.06
2YO Offer	2.44
Early Years Pupil Premium	0.160
Disability Access Fund	0.060
Maintained Nursery Schools	1.27
TOTAL	18.67

- 4.4 A significant feature of the new arrangements for early years funding is the introduction of a high pass through measure, which means that Local Authorities will be required to pass through 93% of all funding for three – and four-year olds received in the Early Years Block of our Dedicated Schools Grant (DSG) directly to settings from April 2017, rising to 95% from April 2018. This means that the amount of DSG the Council can retain centrally of the 2017/18 funding for 3 and 4 year olds has been capped and will stand at £1.049m in 2017/2018 and £0.823m in 2018/2019.
- 4.5 Our allocation for Maintained Nursery Schools (MNS) was uplifted in DfE's October 2017 adjustments, on the basis of a funding assurance exercise undertaken and submitted to DfE by Council officers in March 2017. The MNS allocation was increased from £662,993 to £1.27m per year, for three years (2017/18 – 2019/20). This increase in funding was to redress the gap in funding created by the fact that MNS settings are funded solely through the EYSFF and do not receive a school budget,

share, covering many of their core costs, on the same basis as maintained primary or secondary schools.

4.6 The Accrual of Early Years DSG Reserves

For the period April 2013 to March 2015, the funding allocation given to the Council for statutory 2-year-old free entitlement place provision by the DfE was based on the anticipated number of places required (place-led). In 2014-15, the DSG Early Years Block funding for the Two-Year-Old Free Entitlement comprised of two elements:

- £2.656 million revenue place-led funding for statutory place provision; and
- £1.043 million one-off trajectory funding to support the expansion of the programme.

DfE's place funding allocation of £4.464m for 2014-15 was based on a projected population of 1790 eligible children in Haringey. By the end of 2014-15, not all of this funding had been fully utilised and Schools Forum agreed to ring fence any unused allocation for the purpose of delivering the two-year-old programme. Our total expenditure of £2.438m in that year reflected the actual number of children funded. The difference in these two amounts has contributed to an EY Block DSG carry forward of £2.026m into 2015-16.

In addition to the £2.026m place funding carry forward, we had a remaining balance of £1.774m development funding (also known as trajectory funding) held in reserve. This combination of the amounts totalled £2.9m by the end of 2015-16 financial year. Since 2015-16, we have been able to accrue significant levels of DSG reserves due to funding allocated through the early years block.

It had been agreed previously with Schools Forum that the accrued amounts be held and ring fenced in order to mitigate the annual anticipated funding gap created by the payment of an hourly funding rate for the programme of £6, which is above the £5.28 per hour rate at which Haringey is funded by DfE and the projected slow growth in take up. The net cost of increasing the hourly funding rate to £6.00 from April 2014 can be fully met for the financial years 2015/16 to 2018/19 by the ring-fenced funding allocation for the two-year-old programme within the EYB.

4.7 Funding providers for the delivery of 3 & 4-Year-old Free Entitlement Places

4.7.1 The Council introduced a revised early years funding formula in April 2017. This included a single hourly base funding rate for all providers and an updated hourly rate for the mandatory deprivation supplement. The introduction of a universal hourly base rate for all providers of the free entitlement and the 30-hour extended entitlement for 3 and 4 year olds, including nursery schools. In summary, the new EYSFF, resulted in increases to hourly funding rates for maintained schools and a mixture of increases and decreases across the private, voluntary and independent sector providers.

4.7.2 Universal Hourly base rate

The table below shows how the minimum universal base rate for Haringey has been derived from the DfE's allocation to the Council:

Table 3. Minimum Universal Base Rate for Haringey 2017-18

	<u>£/hr</u>
LA hourly funding rate 2017-18 (£/h)	5.66
Less: LA centrally retained funding (7%)	(0.40)
	<hr/> 5.26 <hr/>
Less: Supplements capped @ 10%	(0.52)
Minimum universal base rate to be paid to providers in respect of the free entitlement for three and four year olds	<hr/> 4.74 <hr/>

4.7.3 Following consultation with Haringey's Early Years sector and Schools Forum, the Council agreed a base rate top of £0.14, taking the universal base rate to **£4.88 per hour**. In addition, it was agreed that **£0.30p** out of the £0.52p available for supplements would be used to create a deprivation supplement funding pot. The remaining funding for supplements was used to create a Quality supplement pot (**£76k**) based on a System Leadership approach.

4.8 Funding the Early Years Pupil Premium

4.8.1 For 2017-18, the allocation for Haringey is £160k, revised down from an initial allocation of £170k. This represents a significant reduction on the £320K allocated for 2016-17.

4.8.2 We have seen a decrease in numbers of settings claiming pupil premium in Autumn 2017. Work will be undertaken by the Commissioning team to engage with settings and establish a better understanding what might be impacting on levels of participation.

4.9 Centrally Retained Early Years Block Funding: 2017-18 and 2018-19

Breakdown of Centrally Held Funding

Item	Centrally Held 2016-17	Centrally Held 2017-18	Year-on-year variation from 2016-17	Centrally Held 2018-19	Year-on-year variation from 2017-18
	(£)	(£)	(£)	(£)	(£)
Childcare Subsidy	1,427,000	0	-1,427,000	0	0
Early Years Quality Team	334,300	441,300	107,000	441,300	0
EH Commissioning	55,700	170,357	114,657	228,364	58,007
Overheads	15,900	0	-15,900	0	0
TU Representation	18,000	18,000	0	18,000	0
EY Head of Standards	73,000	0	-73,000	0	0
Contingency	400	419,427	419,027	135,184	-284,243
	1,924,300	1,049,084	-875,216	822,848	-226,236
		0		0	

4.9.1 The table above reflects the changing profile of centrally retained early years DSG funding since 2016-17. The deployment of centrally retained funding is a Council decision following consultation with Schools Forum and may be used, according to DfE, to support the additional burdens on Local Authorities arising from the delivery of the free entitlement, particularly the extended offer for 3 & 4 year olds and to meet the Council's statutory duties as part of a range of central services.

4.10 Early Years Budget Challenges 2017-18

4.10.1 We have experienced an in-year reduction in our 2017-18 early years budget allocation, due to the dip in 3-year-old participation in 2016-17 and possible issues relating to the robustness of census data supplied.

4.10.2 An overview of the changes is as follows:

- ▶ Changes to the 2017-18 EY DSG allocation in mid-year adjustment. **£420k**
- ▶ 2016-17 mid-year adjustment led to reduction. To be accounted for in 2017-18: **£230k**

4.11 Draw down from DSG reserves for Early Years: 2017-18

4.11.1 The draw down on DSG reserves, agreed previously with Schools Forum, were carried out during the 2017-18 financial year. In addition, our 2017-18 Early Years DSG allocation for the delivery of the 3 & 4 years old universal free entitlement was reduced in-year by a total of £650k, creating an anticipated funding pressure that may need to be supported by a further draw on DSG reserves.

4.11.2 The table below summarises the 2017-18 early years draw down on DSG Reserves.

Early Years Block Childcare subsidy paid	700,000
Early Years Block 2 year old pressure paid	400,000
ESFA 2016-17 Early Years Funding Reduction on 3 & 4 year olds	650,000

5. **The Proposed Application of Early Years Block Funding: 2018-19.**

5.1 In December 2017, DfE notified Haringey of the indicative Early Years Block Funding Allocation for 2018-19. The table below sets out the key elements.

Funding Stream	2018-19 Indicative	Change from 2017-
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	Allocation (£m)	18 (£m)
3 & 4 YO Universal Free Entitlement (15hr)	12.69	- 0.010
3 & 4 YO Extended Free Entitlement (Additional 15hrs)	3.66	+ 1.6
2YO Offer	2.43	- 0.010
Early Years Pupil Premium	0.158	- 0.002
Disability Access Fund	0.060	-
Maintained Nursery Schools	1.25	- 0.020
TOTAL	20.26	+ 1.59

- 5.2 The introduction of new funding arrangements for the 3 & 4 Year olds free entitlement from April 2017, through the implementation of a new early years single funding formula (EYSFF), created significant changes across Haringey's early years sector. The full impact of these changes are not yet known and it is felt that the impact on providers and outcomes needs to be properly evaluated to determine how the effective the new EYSFF arrangements are before any changes are proposed. Therefore, it is proposed no changes are made to the key elements of Haringey's funding formula for 2018-19.
- 5.3 The requirement for the Council to increase the Early Years DSG Funding pass-through rate from 93% to 95% from April 2018 means that the main change to the current EYSFF will be an increase to the universal base rate for all providers.
- 5.4 It is proposed that for the additional elements contributing to provider funding rates; supplements levels and base rate top up no changes are made for 2018-19.
- 5.5 Universal Hourly base rate

- 5.5.1 The table below shows how the minimum universal base rate for Haringey has been derived from the DfE's allocation to the Council:

Table 3. Minimum Universal Base Rate for Haringey 2018-19

LA hourly funding rate 2017-18 (£/h)	<u>£/hr</u> 5.66
Less: LA centrally retained funding (5%)	(0.29)
	<hr/> <u>5.37</u> <hr/>

Less: Supplements capped @ 10%	(0.52)
Minimum universal base rate to be paid to providers in respect of the free entitlement for three and four year olds	<u>4.85</u>

5.5.2 Retaining a base rate top-up of £0.14, takes the universal base rate for 2018-19 to **£4.99 per hour**.

5.6 Centrally retained Early Years DSG Funding: 2018-19

5.6.1 The current application of centrally retained DSG funds includes the funding of Haringey’s Early Years Quality Team and a contribution of funding towards Haringey’s Early Help Commissioning team. Changes to the profile of centrally held funding are proposed for 2018-19, to ensure that there are adequate resources in place to fulfil our statutory responsibilities around the administration and deployment of early education funding. Proposals also include supporting our continuing drive to improve the quality of settings offering the free entitlement. Given the further reduction in the amount that can be retained by the Council from 2018-19, the changes proposed in this profile at 4.9. have also sought to maintain a modest contingency, to enable some capacity within the overall budget envelop to manage fluctuations in funding.

This profiled centrally retained budget is in the context of the development of the *Haringey Education Partnership (HEP)*, which, from September 2018, will be the new model for the delivery of school improvement. It is anticipated that the EYQT will be trading with the HEP and will have an ambitious income target to achieve. Whilst this presents new opportunities for offering early years quality improvement both within, and outside of Haringey, there are potential challenges to meeting the income targets required to sustain the team.

Descriptions and details of the centrally retained elements relating to staff and resources are set out in detail at **Appendix 1**.

6 Key issues and Challenges

6.1 Free Entitlement Participation

Our target to increase participation rates for three year olds from 2014 levels of 84% to 94% by 2018 (as set by DfE in 2013) stalled in 2015 and has been reducing since 2015. A marketing and outreach campaign during the 2015 summer term provided useful feedback and identified a need to focus on the 3-year-old take up, the flexibility of the offer and improving our understanding of the fluctuating patterns of take up across our school nursery classes and PVI providers. We believe that the new 30 hour offer, as essentially a top-up to the universal 15-hour will impact on take-up rates but the extent of this impact remains to be seen.

6.2 Delivering the 30-hour extended entitlement

The introduction of the 30-hour free entitlement offer from September 2017 is likely to have an impact on take-up rates for 3 year olds but the degree to which this increases participation is, as yet, unknown.

The full impact of this new 30-hour offer is yet to be seen. Early indications are that there are many households in Haringey where parents will be ineligible and therefore children will remain entitled to the universal 15 hour offer only. Some parents are choosing not to take up the offer due to concerns about the impact of taking up the free offer on the levels of childcare tax credit or other benefits they receive.

The most up-to-date profile of sufficiency is as follows:

Providers offering the free entitlement

NLC	Private	Voluntary	Schools	Children's Centre	LA Nursery	Childminders	TOTAL
Highgate/Muswell Hill NLC1	11	3	4	0	1	4	23
Hornsey/Stroud Green NLC2	4	1	6	0	0	4	15
Wood Green NLC3	10	3	8	1	0	5	27
Haringey/West Green NLC4	6	2	12	0	0	10	30
North East Tottenham NLC5	3	1	7	1	0	2	14
South East Tottenham NLC6	3	3	10	1	0	5	22
Grand Total	37	13	47	3	1	30	131

Potential Place Sufficiency by NLC	Education Funding Agency eligible 3&4 Year old 30 hr estimate	Potential 30hr supply by Sept 17	Balance of 30 hour places required
Highgate/Muswell Hill NLC1	294	313	(19)

Hornsey/Stroud Green NLC2	204	190	14
Wood Green NLC3	266	266	0
Haringey/West Green NLC4	241	354	(113)
North East Tottenham NLC5	221	142	79
South East Tottenham NLC6	484	228	256
Grand Total	1,710	1,493	217

6.3 Administering the 30-hour offer

6.3.1 The administration of the 30 hour offer, alongside the universal 15-hour offer proved challenging during this Autumn term. Problems with the government's national systems for parental registration for the 30 hour offer and tax-free childcare during the summer months, led to significant problems for some parents, providers and the local authority. Parents who applied soon after the Childcare Choices portal went live in April 2017, received an eligibility code that, based on the 12-week validity period for the codes, needed to be renewed before the start of the autumn term in September. Some parents experienced significant delays in receiving codes due to technical issues with the national portal. Pressures on the national system and technical issues meant that these families were either not able to receive a code at all until after the autumn term had started because of technical issues or were not prompted to renew their codes during early part of the term.

6.3.2 As Local Authorities tried to process the codes submitted by their providers, it became clear to DfE that it was not possible for the LA systems to process these children's hours. DfE then initiated a process where the LA submitted a list of those families where the code issued was expired or affected by technical issues by the end of October 2017. For those where technical issues were responsible for code problems, the DfE and HMRC addressed the issue directly by updating families' records remotely, to enable LAs to process payments. For Haringey, this totalled **147** children. For those families where codes had lapsed without a renewal prompt, we are required to manually update each child's record, carry out a new eligibility check and confirm eligibility on the systems under each provider. This enabled payment to providers to be processed. This process was undertaken for **291** children currently accessing the 30 hour offer.

6.3.3 In December 2017, DfE invited Local Authorities to apply for Sec.31 funding to meet the cost of funding those children affected by the issues outlined in points 6.3.1. The deadline for the submission of data was the 5th January 2018. An application from Haringey was submitted.

6.4 Funding providers for the delivery of 2-Year-Old Free Entitlement Places

In February 2017, a decision was made by the council to reduce the £6.00 per hour funding rate to £5.66, matching the rate of funding received by the Council from DfE. This will ensure that the continued delivery of the 2-year-old offer in the borough can be sustained within the funding envelope allocated by the DfE. However, it presents a significant risk to the sufficiency of places across the borough due to the new funding rate being potentially lower than the levels that providers feel is necessary for them to continue delivering the offer.

- 6.5 In light of plans to reduce the provider funding rate from April 2019, two major priorities for the continued delivery of the statutory 2-year-old free entitlement are; to ensure that we are able to manage the transition from Haringey's current funding rate levels to the rate at which the Council is funded and to safeguard the sufficiency of 2-year-old places from April 2019.

7 Key activities for 2018-19

7.1 Understanding Impact and Sufficiency of the Early Education offer

- 7.1.1 A review of the impact of the offer in Haringey is to be undertaken, as phase one of our new Childcare Sufficiency Assessment (CSA). As part of this we will be seeking to:

- Understand the impact of the new offer on the early years landscape in Haringey.
- Understand how the new early years funding formula is working and its effect on providers in the borough.
- Gain an initial view of parents and carers as to the benefits and disadvantages of the offer, as well as learn more about any emerging barriers to access.

7.2 Maximising EY DSG Grant Income

- 7.2.1 The introduction of the extended free entitlement offer has highlighted the challenges of encouraging participation in all of the types of offer and supporting the early years sector to provide as diverse and flexible an offer as possible. The relationship between children's participation in the offer and the funding allocated to delivering the early education has never been more stark. A key priority for 2018-19 has to be ensuring that the Council, early years providers and partner agencies are working together to ensure that children are able to access their entitlement wherever they live in Haringey and whatever their needs may be. We need to maximise the financial resources coming into the borough for the benefit of children and families and key actions will include:

- Improving census process and rigour – data submission/targeted campaign and updated provider contracts
- Raising awareness and understanding across the sector about the importance of the census and its relationship to the Council's funding allocation for early years education.
- Maximising take up – targeted brokerage and outreach, sufficiency, business support
- Exploring options for maximising income generation by early years quality team

7.3 Creation of a Place Development and Delivery Strategy for the 2-Year-Old free entitlement offer

There is currently an expectation from the DfE that the council continues to develop and promote the 2-year-old free entitlement for all eligible children. This mean that for 2018-19, we will need to prepare for the change to the provider funding rate from April 2019-20 and:

- Review our funding formula and develop a place delivery strategy that is able to encourage provider participation and secure places. In the longer term, these will need to be sustainable within the agreed financial settlement
- Ensure there are sufficient good quality places to meet our statutory responsibilities;
- Build high levels of participation in the programme. We will need to continue our brokerage role and strengthen early help pathways into the programme to ensure that children, particularly those facing the most disadvantage, are enabled to take up the place they are eligible for and parents are supported to place their children in an appropriate setting.

Appendix 1

Centrally Retained Funding 2018-19 - Breakdown of Key Budget Elements

EY Quality Improvement Element of Centrally Held Funding

<u>Cost Element</u>	<u>FTE</u>	<u>£</u>
Head of Standards		73,730
Advisory Teachers x 4.8 & Moderation		267,643
Total		<u>441,373</u>

This allocation of funding supports the delivery of the 3 and 4 free entitlements and enables support and intervention for targeted schools and settings to ensure the sufficiency of good and outstanding provision and enabling greater provider participation. The funding will enable support and intervention for targeted schools, private, voluntary, independent (PVI) and childminder settings. The team also moderate the Early Years Foundation Stage Profile (EYSFP) to secure standards across the early years sector. We currently have 239 childminders, 80 PVI providers, 3 nursery schools and over 60 schools offering early education. Through targeted support, challenge and a comprehensive training programme the Early Years Team work with all childcare providers to support raising standards, narrowing gaps and ensuring the highest quality practice for our children and families. The rapid improvement of Ofsted results and the Good Level of Development show the proven record of success of the team.

EH Commissioning Element of Centrally Held Funding

<u>Cost Element</u>	<u>FTE</u>	<u>£</u>
Funding Manager	1	47,815
Brokerage Officer	0.5	21,628
Free Entitlement Administrator	1	32,869
Sufficiency Officer	1	36,052
Business Development (Commissioned support)		25,000
Database (Systems support, licenses, upgrades, maintenance)		50,000
Marketing & Outreach		15,000
Total		<u>228,364</u>

The functions required for the delivery of the universal and extended 3 & 4-year-old free entitlement offers include regular data collection, collation and financial reconciliation through the management of the census process, monitoring and reporting on take-up, the routine processing and allocation of funding; including the setup of new providers in the borough. Additional functions include statutory requirements such regular audits, acting to remove funding where providers become inadequate and supporting children and families to access alternative provision in light of a withdrawal of funding. Work has been undertaken to streamline and automate our processes, moving much of the information submission, application and eligibility checking to on-line systems.

The processing of separately funded streams such as the 2-year-old programme, Early Years Pupil Premium, Disability Access Fund and SEN Inclusion funding means that there is a significant amount of administration undertaken by the Council. This allocation of DSG funding ensures the staffing resources required are in place to ensure our statutory processing and payment obligations can be met. In addition to this, there is a contribution towards the costs of the capacity we need in order for the council is able to continue meet its duties, under the Childcare Act 2006; with the provision of business development support across all types of provision offering or wishing to offer the free entitlement and the brokerage function in order to maximise access and take-up of all types of free entitlement because of the positive benefit for children, particularly our most vulnerable and disadvantaged and also because of the impact this will have on levels of resources coming into the borough.



**Agenda Item
8**

Report Status

For information/note
For consultation & views
For decision x

Commissioning Unit

Report to Schools' Forum 17th January 2018

Report Title: High Needs Block Budget paper

Author: Vikki Monk-Meyer Head of Service SEN and Disability

The purpose of this paper is to outline the forecast outturn position for the High Needs Block 2017-2018 and to agree the proposals for the High Needs Block 2018-2019

Recommendations:

1. That Schools' Forum note the forecast overspend on the High Needs Block for 2017/18 and note the actions being taken to address this
2. That Forum note the continued work to implement the DSG Strategy which will affect the overall DSG and the High Needs Block budget and spend from next year.

1.0 Introduction

This report is to outline the end of year position and to outline the actions taken to bring the budget to balance April 2018. The report also outlines the budget position for each line in the high needs block for 2018/2019 and seeks Forum's agreement for the use of the high needs block in this way.

1.1. The high needs block budget started the year position in April 2017 with a forecast year end position of a 1.4 million over spend. The overspend was caused by a range of factors. These include:

- An increased cohort of children with Education health and care plans in both the younger and older age group, after the introduction of the increased age range of 0-25 years
- The limited local availability of special school places, particularly for those young people with Autism
- The rising demand for children appearing to require specialist interventions with mental health difficulties – this is expressed as those needing special school places or education funding during an in-patient stay in hospital.
- The increased request for higher top ups for those children with education health and care plans when in mainstream schools. This coincides with both the reducing school funding levels, and also the devaluing of the local top up levels as a result of inflation.

1.2 Due to a range of in year actions the forecast over spend of 1.4 million has been reduced to a forecast over spend of 550K. The in-year actions, previously shared with Forum, taken to reduce the overspend included:

- Reducing specialist staffing by re-structure and through holding vacancies
- Reducing funding for support service such as Early Help and Transport
- Reducing contributions to other services where the funds were not fully used in order to off-set over spending lines.

1.3 Budget was moved in year to reduce over spending lines. The budget was allocated to the mainstream schools line, which is now in balance after an in year uplift of circa 300K, and to the colleges line of E41283. These lines overspent significantly last year.

1.4 The majority of the overspend that remains is on special school places on the following lines:

- E41260 - independent and outborough independent schools and colleges. This line has overspent by £642,648 after income is included
- E41283 - In borough special schools line. This line has overspent by £363,900

A short term solution is needed as a one off position to address the forecast overspend for 2017/2018. As discussed and agreed at the November 2017 meeting of the Schools' Forum, we are adopting a transformational and strategic approach for future years as there will be no facility to off-set overspends in the high needs block with underspends or reserves elsewhere next year, or into the future, and there are not sufficient funds in reserves to support this going forward. As noted, ideally each of the blocks should be working to re-establish reserves through taking a more strategic approach that seeks to manage and respond to demand in an outcomes based and principled way. The detailed work to take forward the DSG Strategy previously presented is now underway and will be shared with future meetings of the Forum.

2.0 Setting the budget for 2018-2019

The budget for the High Needs Block needs to be set in such a way that it addresses some of the areas of increased spend, and allows schools and services to support children and promote inclusive practice, reducing the reliance on special school places and in furtherance of our overall strategic approach.

2.1 Previous forum reports noted that there is an increasing need to address the needs of children with complex and challenging behaviour which may result from communication and mental health needs, or other medical needs.

This was also the outcome of the high needs block survey to schools around their views on what would help schools manage the needs of children with complex and challenging behaviour, and maximise the use of local resources.

2.2 As noted above, work has started across the Joint Working Group to finalise and implement the Strategy which will meet these needs best. The budget setting for 2018-2019 begins to recognise the recommendations of the Joint Working Party, but needs further development to add the necessary detail for implementation.

2.3 In some key lines the budget is highly volatile and prediction is difficult to achieve with accuracy e.g. use of school places in independent and out borough settings, where levels of contribution from other parties also off set this spend.

3.0 Budget available for 2018-2019

After deductions the high needs block budget for 2018 - 2019 is £34,052,000 which is £570,000 higher than in 2017-2018. In addition, the schools block has already agreed to provide 0.25% of its budget to the high needs block which provides a further increase of £480,000. Given the requirements of the Schools Block, this budget will be used to address rising demand as well as moderate areas of pressure. The total Budget available to the High Needs Block is therefore £34,532,000 for 2018-2019.

3.1 Proposals for the use of the budget

3.1.1 Mainstream schools

£300,000 was moved to the mainstream schools line **in year** to meet demand. It is proposed that this line is maintained at this level of spend with a further uplift of 222K, which represents 45p or an uplift of 0.3% on the teaching assistant hourly rate for children with an education health and care plans in Haringey's schools. This is 47% of the funding provided by schools into the school's block. It is proposed that this budget is set at £5,120,000. It is worth noting that the DSG Strategy proposes further work on mainstream school top ups to ensure that both the funding and model are fully aligned and meeting the wider inclusion aspirations of all.

3.1.2 Special Schools

3.1.3 The special schools top ups were increased in 2017-2018 but there is no proposal to increase the rates this year. The Vale's rates are higher than other schools due to costs associated with multiple sites and increased cleaning/amenities costs and management costs paid to the partner schools. Riverside learning centre rates are also set at a higher rate due to the complex and challenging behaviours of the young people, who would otherwise be attending residential colleges or private providers, and often require 2:1 staffing for much of their time in education.

3.1.4 The increased spend on the special schools line is as a result of increased places in the special schools in year and also increased use of maintained special schools out borough. There are also additional costs related to increased complexity of children e.g. there is a cohort of significantly vulnerable children with tracheostomy and oxygen requirements which is higher than in previous years, and who require higher staffing levels.

3.1.5 It is proposed that due to new special school places in borough next year in borough this budget line is increased to £7,706,600.

This will allow for 6 additional places at the Vale, for those with complex medical needs, additional places at Heartlands and the opening of the Riverside 6th form centre of 6 places for 16-19 years olds with complex and challenging behaviour.

The additional places at Heartlands will be on another school site, which will be the start of the new special school The Grove as a satellite unit to Heartlands School, and an opportunity to start building the teaching staff for The Grove in anticipation of the school opening. This is also part of the wider strategic approach already discussed to support more children to be educated and supported in borough.

The funding on this line will be increased by £100,00 to recognise the complex nature of some of the children's behaviour which requires additional funding at different periods in the year.

3.1.6 New Places are summarised below:

School	Amount	Additional Place costs	Total cost
Blanche Nevile	417,000		
The Vale	1,830,107	146,062	
Riverside	2,048,500	120,000	
The Brook	2,122,000		
Octagon	80,000		
The Grove (heartlands 2 classes off site)	162,925		
Out borough maintained	680,000		
Special schools contingency		100,000	
			7,706,594

4.0 Out-borough School Places

It is anticipated that the spend against the out borough line, which includes all age ranges, will start to reduce in September 2019 when The Grove opens fully and Riverside Learning Centre is well established. This is a complex budget line to predict as it includes all those with joint funding who are attending residential schools, and also those young people whose needs cannot be accommodated locally. Joint funding is received into this line from placements and the CCG (Health Services). It is proposed that this budget is increase to £6,560,000 after joint funding from £5,879,400

5.0 College Places

It is anticipated that the spend against the colleges line, which includes all age ranges, is starting to reduce, and will reduce further in September 2019 when The Grove opens fully with a post 16 setting, and Riverside Learning Centre is well established. Joint funding is also received into this line from adult's social care services and the CCG (Health Services). It is proposed that this line is decreased to £1,740,00 from £2,342,000.

6.0 Tuition and Simmon's House

Applications have been made to the department of Education to increase the places funded at tuition centre in order to start developing the Tuition Centres' offer of short and longer terms places for children with mental health needs, either co-occurring or causing special educational needs. The success of this request to the DfE is not known as yet, however the top up paid to tuition for

those young people with SEND has been increased from £70,00 to £85,000 in anticipation of this occurring.

The seeking of income from Simmon’s House places for those young people attending from outside the North Central London network is still being explored.

6.0 Inclusion top-up for Early Years

The inclusion top up line is a budget of £396,000 which is high needs block funding moved to the Early Years budget. This budget is used to fund some of the Early Support places in children’s centres (with the remainder of the budget on E42188) and also the inclusion top up for 3-4 years olds and 2 year olds. The inclusion top up for 3-4 year olds is a statutory offer.

6.1 To date £98,000 of this budget has been spent on inclusion top ups and £111,000 has been spent on Early Support places from this line. It was reported that the top up’s were too difficult for the early years settings to access, which has reduced take up. As a result the paperwork has been reduced to encourage take up. This has ensured more applications however the full budget has not been accessed as yet.

6.1.2 It is proposed that the budget for the Early Support places is amalgamated onto one line in the high needs block on E41288, moving 111K from the top up line to the Early Support place budget.

6.1.3 It is proposed that the remaining budget is divided into the statutory top up’s for 3-4 years olds, and a separate line for 2 years olds. In total the budget for both lines will be £195,000. This will return £90,000 to the high needs block which can be reviewed next year should further budget be required.

7.0 All other budget lines

In order to allow for the increase budget required in special schools and mainstream schools lines the following budgets have been reduced in year and will now remain at these levels:

Budget Area	Previous Budget	Proposed budget 2-18-2019
Transport	500,000	225,000
Early Help	1000,000	880,000
Language Support Team	308,200	195,000
Autism Support Team	189,500	225,000

Occupational Therapy funding has been increased slightly and added on a separate line to allow visibility of the budget at £83,000.

All other budget lines remain the same or similar levels to last year.

6.0 Future proposals

6.1 As a result of the Early Years and High Needs Block working party the use of the budget in future years is being reviewed to support the early years and mainstream school settings to support inclusion. The working party has not concluded its work, however emerging themes in order to support inclusion are:

- Training advice and support on managing complex behaviour and mental health needs
- Access to CAMHS Tier 2 services in schools
- Adequate and consistent funding short term for Early Years and School settings at the point a concern arises for those children with challenging behaviour
- Short term specialist placements offering therapeutic interventions
- Adequate Long term funding at realistic hourly rates for those reaching threshold for statutory interventions.

6.2 Should more budget become available over time as costs reduce on the independent and out borough lines, there will be further consideration of the areas of priority investment by the early years and high needs block working parties.

Vikki Monk-Meyer

Head of Service SEN and Disabilities

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				APPENDIX A
ANALYSIS OF DSG RESERVE FOR 17 JANUARY 2018 SCHOOLS FORUM				
	Schools Block	Early Years Block	High Needs Block	DSG Reserve
	£	£	£	£
2014-15 Balance c/f	(73,000)	(3,757,517)	(3,168)	(3,833,685)
2015-16 Opening Balance	(73,000)	(3,757,517)	(3,168)	(3,833,685)
2015-16 (Underspend) / Overspend	(1,186,966)	799,000	970,000	582,034
2015-16 Balance c/f	(1,259,966)	(2,958,517)	966,832	(3,251,651)
Schools Forum Decision 30 June 2016				
Rate Rebates used for HNB	192,000		(192,000)	0
School Improvement used for HNB	351,966		(351,966)	0
ISB used for HNB	380,000		(380,000)	0
2015-16 Balance c/f after Schools Forum decision	(336,000)	(2,958,517)	42,866	(3,251,651)
2016-17 Opening Balance	(336,000)	(2,958,517)	42,866	(3,251,651)
2016-17 (Underspend) / Overspend	(479,340)	(366,552)	1,297,285	0
2016-17 Balance c/f	(815,340)	(3,325,069)	1,340,151	(2,800,258)
LA Decision as at 31 March 2017 to close DSG accounts		1,340,151	(1,340,151)	
2016-17 Balance c/f after LA decision	(815,340)	(1,984,918)	0	(2,800,258)
2017-18 Opening Balance	(815,340)	(1,984,918)	0	(2,800,258)
Schools Block Commitments	80,000			80,000
Early Years Block Childcare subsidy paid		700,000		700,000
Early Years Block 2 year old pressure paid		400,000		400,000
ESFA 2016-17 Early Years Funding Reduction on 3 & 4 year olds		650,000		650,000
2017-18 Early Years Block 3 & 4 year old potential underspend		(650,000)		(650,000)
High Needs Block 2017-18 Projected Overspend			780,000	780,000
Projected 2017-18 Balance c/f	(735,340)	(884,918)	780,000	(840,258)
Projected 2018-19 Opening Balance	(735,340)	(884,918)	780,000	(840,258)
Early Years Block 2018-19 EYB 2 year old pressure Commitments		400,000		400,000
Projected 2018-19 Balance c/f	(735,340)	(484,918)	780,000	(440,258)

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	Cost Centre Description	AD/BH responsible	Revised Income budget on SAP	18/19 provisional allocation	
	Highneeds Block				
HNB	E41234 Alternative Prov Commissioning	Charlette Pomery/Deborah Tucker	1,137,900	1,137,900	
HNB	E41235 In Year Fair Access Panel	Charlette Pomery/Deborah Tucker	338,000	338,000	
HNB	E41252 Parent Partnership (Markfield)	Gill Gibson/Vikki Monk Meyer	98,900	98,000	
HNB	E41239 Visual Impairment	Gill Gibson/Vikki Monk Meyer	177,000	177,000	
HNB	E41240 SEN Strategy Manager	Gill Gibson/Vikki Monk Meyer	142,900	142,900	195,000
HNB	E41241 Language Support Team	Gill Gibson/Vikki Monk Meyer	308,200	195,000	200,000
HNB	E41243 SEN - Admin Team	Gill Gibson/Vikki Monk Meyer	182,500	182,500	395,000
HNB	E41246 SEN Portage Service	Gill Gibson/Vikki Monk Meyer	160,000	160,000	
HNB	E41247 Hearing Impairment Team	Gill Gibson/Vikki Monk Meyer	162,700	162,700	
HNB	E43901 SEN - Transport traded	Gill Gibson/Vikki Monk Meyer	225,000	225,000	this is a risk
HNB	E41250 LOVAAS	Gill Gibson/Vikki Monk Meyer	27,900	28,000	
	E41251A Occupational Therapy			83,000	
HNB	E41251 Speech & Language Therapy		460,000	460,000	
HNB	E41254 Autism Support Team	Gill Gibson/Vikki Monk Meyer	189,500	200,000	
HNB	E41260 Indepndt&VoluntarySc	Gill Gibson/Vikki Monk Meyer	5,879,400	6,460,000	spend is 6.9 JF income is approx 340K
	E41282 Special schools-place funding	Gill Gibson/Vikki Monk Meyer	4,270,000	4,390,000	place funding only. Blanche N 680k;Vale 980k;riverside 1.270m;Brook 1.080m. Mulberry 180k; Westgreen 80k
HNB	E41283 Special Schools Top Up	Gill Gibson/Vikki Monk Meyer	6,986,100	7,706,600	top up for Blanche Neville/Vale/Brook and Riverside. £7.4m projected for P8. can we reduce this budget?
HNB	E41284 Mainstrea. Schools Top Up	Gill Gibson/Vikki Monk Meyer	4,897,800	5,120,000	Increase of 222,200 which is 45p per hour on SNA time or 3%
HNB	E41285 Special Units Top Up	Gill Gibson/Vikki Monk Meyer	848,500	858,000	SEN places at Mulberry Primary/Westgreen primary and Heartlands. Paid through cashflow. Hearland total yearly cost is £460k
HNB	E41286 Higher Education Top Up	Gill Gibson/Vikki Monk Meyer	2,342,000	1,740,000	spend is 2.3 mill with approximately 460 JF income
HNB	E41287 SEN contingency	Gill Gibson/Vikki Monk Meyer	1,415,000	1,300,000	
HNB	E41288 High Needs in Early Years(early support places)	Gill Gibson/Vikki Monk Meyer	255,100	386,000	All Nurseries Early Support places
HNB	E30008 High Needs Block	Gill Gibson/Vikki Monk Meyer	0		
HNB	E41217 Tuition Service	Eveleen Riordan/James Page	550,000	550,000	
	new code - tuition service topup			85,000	moved from E41283 and increased top up
HNB	E41215 Simmons House	Eveleen Riordan/James Page	180,000	180,000	25k management cost
	new code-Simmons hse management cost			40,000	
	new code-independent hospital education			50,000	Costs were lower 2017 at approx 50K
HNB	E42012 Locality Team - Central	Gill Gibson/Gareth Morgan	406,500	290,000	
HNB	E42013 Locality Team - East	Gill Gibson/Gareth Morgan	396,500	290,000	£880k for all 3 areas. Reduced for 18/19

HNB	E42014 Locality Team - West	Gill Gibson/Gareth Morgan	427,000	300,000	
HNB	Subtotal		32,464,400		
	Early Year Block				
EB	E42186 Pathways for Early Intervention(2 yr olds)	Charlette Pomery/Ngozi Anuforo	395,000	70,000	111 moved to E41288 to pay all early support places
	new code-eY inclusion fund (3 & 4 yrs old)			125,000	statutory support 3-4 year olds
EB	E38001 Ear. Yre sgle Formu.	Charlette Pomery/Ngozi Anuforo	11,412,700		
	E38003 EYSFF (3 and 4 YO Additional Fund)	Charlette Pomery/Ngozi Anuforo	2,056,600		
EB	E42172 EY commissioned serv	Charlette Pomery/Ngozi Anuforo	170,300		
EB	E42174 Trajectory 2 Year Old Development	Charlette Pomery/Ngozi Anuforo	403,500		
EB	E42188 disable access fund	Charlette Pomery/Ngozi Anuforo	56,000		
EB	E42189 MNS sustainability fund	Charlette Pomery/Ngozi Anuforo	1,266,500		
EB	E42175 2 yr old EY free edu	Charlette Pomery/Ngozi Anuforo	2,435,000		
EB	E42187 EY Pupil Premium	Charlette Pomery/Ngozi Anuforo	158,000		
EB	E30100 Indiv Schools Mgr	Charlette Pomery/Ngozi Anuforo	0		
EB	Subtotal		18,353,600		
	Schools Block				
SB	E41140 Schools Forum	Eveleen Riordan/James Page	5,000		
SB	E41141 CPD - Gov Supp & Trg	Eveleen Riordan/James Page	135,000		
SB	G42700 Music & Arts Mngt	Eveleen Riordan/James Page	167,900		
SB	E43800 Admissions&School Or	Eveleen Riordan/James Page	299,800		
SB	E41110 Head of Standards	Eveleen Riordan/James Page	1,483,000	22,600	sch improvement 9,000+sch in financial difficulty 13,600
SB	E30000 Indiv Schools Mgr	Eveleen Riordan/James Page	352,600		
SB	E30030 Growth Fund	Eveleen Riordan/James Page	1,100,000		
SB	E41211 Attendance & Welfare Service	Eveleen Riordan/James Page	471,000	177,000	yes given for 18/19(HN contribution)
SB	E42176 Quality & Improvemnt	Eveleen Riordan/James Page	441,300		
SB	E43311 TU Representation	Eveleen Riordan/James Page	137,000	2,400	Union
SB	E30006 Other Schools Block	Eveleen Riordan/James Page	1,008,000	800,000	overheads
SB	S36400 Chld Resid'tial Plmt	Sarah Alexander/Dominic Moore	800,000		
SB	Subtotal		6,400,600		
	Total		57,218,600	34,532,600	
				-480,000	School Budget 0.25%
				34,052,600	

high needs block allocation 18/19 required	34,532,600
contribution from SB	-480,000
EFA allocation 18/19	-34,054,000
shortfall	-1,400

heartland places recoupment **360,000**
grove places recoupment **35,000**

34,532,600 total budget required
-480,000 from SB
-34,054,000 Efa allocaion
-1,400 shortfall

35,804,000 EFAallocation before re

-1,750,000	recoupment
34,054,000	actual cash in

	places	10,000	top ups	amount	E41283	special units	amount	E41285
BN	68	680,000	BN	417,000				
vale	102	1,020,000	vale	1,830,107	146,062			
riverside	132	1,320,000	riverside	2,048,500	120,000			
brook	111	1,110,000	brrok	2,122,000	100,000			
		4,130,000	octagon	80,000	AP top up			
west- ele 1	8	32,000	OB maintained sch	680,000				
west- ele 2		48,000	grove	162,925				
					7,706,594			
mul- ele1	18	72,000						
mul- ele2		108,000						
		260,000						
		4,390,000						

ove

	E41285	
top ups		
special units	amount	
mulbery	307,965	less the difference between the post MFG budget per pupil and the element 1
west	64,000	
heart	486,000	32 places
	857,965	

2018-19 DSG allocations, prior to recoupment and deductions for direct funding of high needs places ESFA				
2018-19 schools block (£million)	2018-19 central school services block allocation (£million)	2018-19 provisional high needs block allocation (£million)	2018-19 early years block (£million)	2018-19 total DSG allocation (£million)
195.299	3.090	35.804	20.264	255.457

by	2018-19 DSG allocations, after deductions for direct funding of high needs places by ESFA				
n	2018-19 schools block (£million)	2018-19 central school services block allocation (£million)	2018-19 high needs block allocation (£million)	2018-19 early years block (£million)	2018-19 total DSG allocation (£million)
4.457	195.299	3.090	34.054	20.264	252.7

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Agenda Item 9a
Report Status For information/to note

Report to Haringey Schools Forum – 17th January 2018

Report Title:

Arrangements for the use of pupil referral units and the education of children otherwise than at school

Author: Deborah Tucker

Alternative Provision Commissioner

Contact: 0208 489 5173 Email: Deborah.Tucker@haringey.gov.uk

Purpose:

The report updates the Schools' Forum on the Pupil Referral Provision and the provision of Primary Outreach (behaviour support for vulnerable children) at the Octagon Alternative Provision Academy.

Recommendations:

Schools' Forum is asked to note the report and to comment on progress and plans going forward.

1. Introduction

Under the Education Act 1996 (Section 19), local authorities are required to make arrangements for the provision of suitable education at school or otherwise than at school for those children of compulsory school age who, due to illness, exclusion from school or otherwise, may not for any period receive suitable education unless such arrangements are made for them.

This report provides an update on the current provision at the Octagon Alternative Provision (AP) Academy and on recent commissioning activity resulting in:

- An increase in the number of Pupil Referral Unit (PRU) placements commissioned to accommodate specialist SEMH provision at the Octagon AP Academy;

- A Primary Outreach service commissioned to support vulnerable pupils in primary schools

A report will be presented to a future Schools' Forum setting out the wider AP landscape including the needs to be addressed, the range of providers currently being commissioned, any gaps in provision and any developments being initiated. As part of this paper, there will be an analysis of Social, Emotional and Mental Health (SEMH) needs, gaps and provisions.

2. Provision commissioned from the Tri Borough Alternative Provision Trust (The Octagon Alternative Provision Academy)

In order to meet its statutory duties to ensure education provision following permanent exclusion, Haringey Council entered into a 3-year contract over the period 2014 – 2017 with the Tri Borough Alternative Provision Trust (TBAP) to manage the Octagon Alternative Provision Academy (Pupil Referral Unit) based in Wood Green.

Arrangements for re-tender prior to the end of the three-year contract would have required that the Council initiated a procurement/commissioning process by March 2016, only two years into the original contract. The Council did not believe that it was in the best interests of the vulnerable cohort referred to PRU provision to re-tender for services without first stabilising the setting and testing the current model through the Ofsted inspection framework. The Octagon AP Academy were inspected in February 2017 and achieved a rating of 'Good'.

On the basis of this, the contract with TBAP has been extended for an additional two years, September 2017 – August 2019, as allowed for in the original contract.

At the heart of the vision is the aim to ensure that all students have in-borough access to a high quality and appropriate curriculum, achieve their potential and are not disadvantaged in comparison to their peers accessing education in mainstream settings. This model will support the aspiration to become a fully inclusive borough, and will support the delivery of our priorities around prevention and early intervention.

Provision will accommodate a 3 phase admission and exit strategy made explicit in each student's placement plan at enrolment.

- Revolving door – children permanently excluded for one off incidents reintegrated within a 6-week period
- Longer Term Placements – children managed moved and permanently excluded with complex needs reintegrated within a 24 – 36 week period
- Bespoke SEMH provision for children with EHCPs (interim and longer term)

Centralised systems have been developed, via IYFAP, to monitor and scrutinise access to and reintegration from PRU provision.

3. September 2017 – August 2018 (year 1)

a. Place numbers

The academic year 2016/17 and this academic year so far 2017/18 have seen an increased demand for PRU placements. This cannot solely be explained by the rise in permanent exclusions, which in the preceding 4 years saw the highest number at 41 (2013/14) and the lowest at 19 (2014/15). Requests for managed moves, PRU to PRU transfers and placements for children newly arrived in the borough, at high risk of permanent exclusion from their previous school, have increased significantly, resulting in the provision being at capacity from the start of the academic year 2017/18.

The reduction of places for September 2017 (from 58 to 54) indicated in the report to Schools' Forum, October 2016, did not occur due to continued high demand for placements and 58 places are now commissioned from TBAP for student enrolments at The Octagon AP Academy.

Based on numbers of permanent exclusions and referrals for interim provision in recent years, 8 places are allocated to primary and 50 to secondary.

b. SEND provision at the Octagon AP Academy

Of the 58 places commissioned for 2017/18, 10 will be designated to students with EHCPs. SEND top up will be agreed by Haringey SEND Panel.

Funding amounts for children with EHCPs will fall within a banding of £0 – £11, 642 according to additional support needed whilst in attendance at the Octagon AP Academy. Agreements will be reached by the provision and the local authority in terms of the bands individual children meet.

4. September 2018 – August 2019 (year 2)

a. Place numbers

Placements will increase from 58 to 68 in Year 2 of the contract in order to accommodate specialist bespoke provision for students with EHCPs on the site.

Of the 68 places, 14 will be designated to students with EHCPs. 4 of the placements will be designated to primary students who will receive their education in classes designed for their age group but with additional support to meet their needs.

10 secondary aged students will receive their specialist support in separate, onsite, bespoke provision with its own identity (and name) staffing and entrance/exits points.

b. Specialist in-borough SEMH provision at the Octagon has been commissioned to start from September 2018 and will play a part in the borough's graduated response to and support for children at risk of social exclusion and will:

- Maximise the potential for KS2 and KS3 students with SEMH needs to transfer successfully to mainstream secondary schools (having received the right interim support) thus reducing referrals to special and independent schools
- Support students to reintegrate successfully back into the referring school and interim placement;
- Give Haringey students an opportunity to access specialist provision in their own community;
- Improve parental confidence in the continuum of provision available within Haringey and thus increase parental choice;
- Give parents greater opportunities for involvement in their children's education and to develop their capacity to support their child's development and progress.

c. Access to the curriculum

The provision will deliver a broad and balanced curriculum where students can make clear progress. The curriculum will be built on a philosophy of meeting the holistic needs of the students with mental health, literacy, communication and the development of independence as key principles. Key features include:

- A personalised curriculum offer to meet the specific needs of individuals
- Small class sizes.
- Opportunities for 1:1 and small group work in a quiet environment, arranged flexibly according to individual need.
- Further appropriate provision to take account of any additional student needs
- An enhanced social and emotional curriculum.
- Progress towards individual outcomes are rigorously monitored and reviewed in consultation with parents.
- A strong pastoral support system with an emphasis on emotional health and well-being.
- Support from a primary mental health worker from the Child and Adolescent Mental Health Services (CAMHS).
- Experienced and well trained staff who are expert at working with emotionally

The building blocks of this curriculum will include:

Confidence	Identity	Family
Participation	Self expression	Leadership
Self management	Resilience	Altruism i.e. volunteering

d. Family Learning Model

A family learning model which requires participation of a parent or significant adult family member classroom sessions with their child and in 'multi-family' group sessions.

e. Staff Expertise

Highly trained staff working with students and who are empathetic to the challenges faced by young people with SEMH. Teaching will be very structured and will include:

- Opportunities to develop students' tolerance to working in whole class, small groups and 1:1 situations
- Well managed movement between activities to reduce unstructured time
- Support to develop sustained engagement and attention skills
- Opportunities to develop in students' problem solving skills and self-advocacy
- An emphasis on language enrichment to support social skills as advised by a speech and language therapist
- Explicit teaching of negotiation and conflict resolution skills
- Explicit teaching of life and leisure skills
- Preparation for adulthood with a focus on developing independence and life skills
- Readiness for work programs

f. Accommodation Remodeling

The Octagon site will be redesigned to accommodate 10 secondary aged students in the area currently used for the primary cohort and will include:

- Discrete provision not accessed by other students on roll students
- Designated calming areas/safe spaces for time and space to allow for emotional self-regulation
- Carefully planned outside spaces conducive to relaxation and recreation
- Designated area for multi agency/therapeutic interventions
- Separate entrance

- Three classrooms with play area
- Shared access to gym
- Access to open air atrium

A prefabricated building will be rectified in a suitable open space adjacent to the main Octagon AP Academy site for 8 primary students. Primary students will have separate entrances/exits and play areas.

Funding for the remodelling is being sourced through s. 106 funding. S. 106 (Town and Country Planning Act 1990) agreements are drafted when it is considered that a development will have significant impact on the local area that cannot be moderated by means of conditions attached to a planning decision (i.e. regeneration).

In effect, the s.106 funding has been identified to build additional education capacity to provide additional educational facilities within the area borough required as a consequence of the development. Funds can be spent on capital projects to improve or extend the buildings at an eligible school. This could include special educational needs or other school based facilities for the benefit of children. A feasibility study of the works to be carried out is planned for December 2017 and a predictive timetable of works will follow with the intention that students are in provision by September 2018.

g. Financial Model

The additional spend for the SEMH provision on the Octagon site is part of an Invest to Save strategy based on offering children provision in-borough which is part of their local networks, can offer improved outcomes and will realise savings against out of borough spend.

The funding level for 12 children currently placed in out-of-borough provision 2017/18 and whose needs could, in future, be met through in-borough specialist provision is £728, 000. There are 10 – 14 children whose needs would be met within the Octagon SEMH provision.

The cost of proposed SEMH in-borough provision totals £140,000 for up to 14 children with Education Health and Care Plans. The proposed increase from 58 to 68 places represents an additional increase of £133, 344 pupil placement top up. The cost of SEMH provision will total £273, 344 (Appendix 1) which is a considerable saving against out of borough provision.

h. Primary Outreach

Primary Behaviour Outreach is already offered to schools via Service Level Agreement with TBAP. Evidence indicates services are purchased regularly by 6 Haringey primary schools. Feedback regarding impact on pupil behaviour has been positive. The Council has commissioned the Octagon to ensure that a significant minority of vulnerable children across the borough have access to this additional support in their mainstream setting.

The aims of the provision will be:

- To support primary schools in developing their own capacity to respond even more effectively to the needs of students with social, emotional and behaviour needs
- To work with school staff to plan and implement programs of support and interventions at individual pupil, whole class or whole school level

Priority will be given:

- To support Children in Need, pupils subject to a Child Protection Plan and Looked after Children who are at risk of or who have been excluded
- To help stabilise provision in mainstream school for pupils with SEN who are at risk of exclusion
- To support transition for YR6 pupils who have experienced multiple fixed term exclusions and would be at risk of exclusion at secondary school

Referrals will be prioritised according to the thresholds described above via Primary IYFAP. School Admissions will convene monthly IYFAP from January 2018.

Primary Outreach will be funded via the Alternative Commissioning budget at a cost of £63,120 for the academic year, resourcing 0.8 teacher and 0.6 Learning Support Professional. Based on school feedback and evaluation, schools will be asked to match fund the service for 2018/19 thereby doubling staff capacity and the support available. Schools will be consulted February 2018.

5. Conclusion

This paper has set out the services being commissioned from the Octagon from September 2017 – July 2019. The Octagon forms part of the wider AP offer for Haringey's children, young people and schools and is commissioned alongside a range of other provisions. In order to set out the wider AP landscape, it is intended to bring a future paper to Forum which will present a needs assessment, a clear set of outcomes and current activity in order to consider the future commissioning intentions for AP in Haringey. A part of the paper will be devoted to SEMH provision, including a needs assessment across the spectrum of needs, key outcomes and provisions.

The Council is planning to engage with key stakeholders including children and young people, parents, schools and local authority partners in order to determine the best options for AP provision after August 2019. Decisions will be made to take into account:

- a. Local need
- b. Performance of current provision
- c. Budget Restrictions
- d. Future legislation*

*Excellence Everywhere White Paper (2016). Proposal to devolve responsibility for students, post permanent exclusion, to schools.

Appendix 1 Octagon AP Academy Financial Model

Contract Value	Original contract			2017 – 2019 Contract Extension		High Needs Block Cost / (Savings)
	£2,618,000			£2,056,344		£(314,656)
	2014-15	2015-16	2016-17	2017-18	2018-19	2018-19
58 Placements	£810,000	£775,000	£775,000	£775,000	£775,000	
10 SEMH Placements					£133,344	£133,344
SEND Top Up	£90,000	£84,000	£84,000	£84,000	£140,000	£140,000
Outreach Provision				£63,000	£63,000	
Additional Rolling Placement				£11,500	£11,500	
Assume 4 children is still placed out of borough at £56k a place						224000
Cost of current Octagon AP						£(84,000)
SEND Top up for up to 10 places						£(728,000)
Cost of current out of borough SEMH placements						
Total	£900,000	£859,000	£859,000	£933,500	£1,122,844	£(314,656)

2017-19 Contract Value	£2,056,344
Decision report value for 2 year extension includes contingency for rise in cost of placements of 4%	£2,138,598

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**Agenda Item
9b**

Report Status

For information/note

Report to Haringey Schools Forum – 17th January 2018

Report Title: The Haringey Tuition Service

Author: Eveleen Riordan, Joint AD, Schools and Learning

Contact: 020 8489 3607 Email: Eveleen.Riordan@haringey.gov.uk

Purpose: For noting only - of proposed short term actions and information on possible longer term actions

Recommendations: NA

Background

The Haringey Tuition Service (HTS) is an integrated service for students whose medical needs either prevent them from attending mainstream school or where they do attend mainstream but require support. The Home Tuition Service provides 1:1 tuition in the home or in public libraries for students who have medical needs and cannot attend school. The provision also includes, on a separate site, at Simmons House in Muswell Hill, an adolescent psychiatric unit for 13 to 18 year olds.

The School's Forum commission 55 student places at the HTS to be retained for medical needs. The 12 bed spaces at Simmons House are allocated directly via CAMHs.

In 2017 the Interim Head Teacher of the Haringey Tuition Service and the Haringey School Improvement Advisor to the service carried out a review of the service. The scope of the review was as follows:

1. Status of and purpose of the service;
2. Admissions arrangements for taking pupils on roll;
3. Location of the service (currently Bruce Grove N17);
4. Funding and budget management - including how delegated

budget is managed by the Headteacher/Management Committee (including provisions of Scheme of Delegation);

5. Future funding (including how Simmons House pays for education of those young people admitted who aren't Haringey residents);
6. Curriculum offer;
7. Commissioning of hours/places from SEN/AP/any other.

There are some clear actions that can be taken with immediate effect to support the service, and some actions that will require further consideration and work by officers and partners before we can move forward with them. These are summarised below.

Immediate actions from the review

1. **Referral:** the referral to the service has historically been poorly defined. The referral pathway must be streamlined so that there is one pathway only. The HTS needs to work with the LA to establish if that single pathway sits with the Alternative Provision Commissioner for the DSG funded places or elsewhere. The charged places must be made directly through the HTS's own website.

This will be a first step in the development of the website to have a menu of services, priced at the market rate. These services will be scoped out and developed as part of a wider look at how the HTS fits into the LA's vision and need for services to support our young people in the coming years and will be influenced by, among others, Schools and Learning, SEND, AP and Early Help.

2. **Admissions:** There aren't currently defined admissions criteria for the service. These needs to be rectified without delay by establishing clear and explicit admission arrangements that leave the service, schools, parents/carers and other users/referrers (e.g. CAMHs) with a clear understanding of the threshold for both referral and admission. This should be actioned immediately through the Management Committee: Haringey Admissions will support and assist as required.
3. **Head teacher appointment:** there has been an interim head teacher at HTS since May 2016. While wider work is underway to scope and shape the offer of the HTS in the coming years, there is no reason not to appoint a substantive head teacher to the service. A process to recruit a substantive head teacher should begin without delay.
4. **Devolution of the budget/appointment of a Business Manager:** the HTS has long had a delegated budget, but that budget hasn't historically been devolved to the service which has meant SAP has to be used to make any payment, including where agency staff are used to support peripatetic teaching of students in their homes or in libraries.

This has finally been resolved and a devolved budget will sit with the HTS from 1 April 2018.

Such a move necessitates a strong and capable Business Manager to ensure that processes are in place and the service is fully financially compliant. Recruitment to this post (anticipated to be part time and term time only) should begin straight away. Appropriate financial challenge from the Management Committee will assist with this devolution and ensure that the service is able to remain in budget each year. This will be further contributed to by a single point of entry for each young person on roll and a proper system for ensuring mainstream schools appropriately recompense the HTS when one of their pupils is dual rolled with the HTS for a prolonged period.

5. **Top slicing of the budget from the DSG:** every school has money top sliced to provide services from the Local Authority. The HTS has questioned the amount being top sliced from its budget to fund, among other things, HR support, IT and property services management. As part of a more in-depth look at the service, the LA will examine the top slicing of the HTS to see if it is proportionate and in line with top slicing from other schools. At the current time there is no suggestion that it isn't.
6. **10 Bruce Grove** (the premises within which the HTS sits): 10 Bruce Grove is a converted Georgian building, previously used as offices. It sits in front of Bruce Grove Youth Service building which is a more contemporary structure and accessed via the side of 10 Bruce Grove. HTS utilise the space in the Youth Service building during the day for their young people and while the leadership has made significant strides in ensuring robust safeguarding measures are in place to support this arrangement, there are clear further steps that could be taken to allow a dual use of the premises in a way that meets and protects the needs of all users in the interim period while a decision is taken on the scope and shape of HTS and its location. This work should be led by officers within the LA in liaison with the Management Committee of HTS.
7. **Outreach staff:** currently HTS employs one permanent outreach teacher and five agency staff. this is not tenable either in financial terms or in terms of building staff relationships and contributing towards raising standards and allowing for succession planning. Immediate consideration should be given to a pool of permanent staff that are commissioned on a needs basis. This would allow for greater consistency for HTS and for young people/families and also be much more economically viable. It would also reduce the significant amount of time spent on processing paperwork for the agency staff currently used. As part of this work, thought also needs to be given as to how to recompense teachers for their peripatetic outreach travelling: currently this is done via monthly claim sheets which isn't an efficient or

supportive way to manage teachers who are out in the community to support some of Haringey's most vulnerable young people.

8. **Simmons House:** The 'Classroom' is the teaching arm of HTS and sits within Simmons House, a Whittington Health adolescent in-patient psychiatric unit in Muswell Hill N10. The Classroom is Haringey's only hospital school. HTS and Simmons House are inspected jointly by Ofsted but to all intents and purposes the two teaching elements operate largely independently of one another because of the nature of Simmons House's young people's educational needs. However, an immediate look at the drawback of the costs of educating some of the pupils in Simmons House is needed and the LA is looking at this with a view to recouping costs of those young people whose home address is out of Haringey and out of the agreed small number of local boroughs that are currently top sliced to allow for funding of this education.

Longer term considerations and actions from the review

The narrative on immediate actions above (1-8) has also set out the longer term considerations needed around HTS, including the suitability of the building and the consideration to how the outreach is currently structured. In addition to these considerations the review also sets out how the service itself sees its future offer developing. The Management Committee believes the service should expand to become a SEMH (social, emotional and mental health) school for KS1 – KS5 students. They would like to see CAMHs support attached to the service - a psychiatric nurse, SEN Caseworker, Family Support Worker, Home/School Coordinator, EWO, Virtual School Coordinator and NEET Coordinator. By pooling budgets, they believe the needs of the most vulnerable young people in the LA could be met. The core offer would be an alternative education for students within the borough whose attendance at their primary/secondary school is either not meeting need or causing concern (or both) or who are not attending school at all. They would offer the following places:

- 10 Places for SEN Unplaced (Charged)
- 5 Places Pregnant Teenagers (Charged)
- 5 Places LAC (Charged)
- 6 Places NEET with SEMH (Charged)
- 10 places to support school in respite from challenging students (Charged)
- 55 places Medical Needs PRU (Commissioned Places by Schools Forum)
- The cost per day would be circa £200 with a menu of additional services

The above proposal needs further shaping with officers in Schools and Learning, SEND, Commissioning and in Early Help to ensure that the offer meets the needs of our young people, and, in the long term, is based on clear investment to save principles with specific outcomes and key performance

targets that are able to be monitored and adhered to. The LA is also meeting with the CCG to talk about their views and actions on future in-borough provision.

In summary, officers have tentatively teased out the following *potential* offer:

1. A bespoke education offer, potentially mixed home and school, which supports children and young people with mental health needs and co-occurring or resulting SEN. The LAC cohort would need a specific and carefully crafted local offer to ensure their needs are specifically met.
2. There should be two clear and defined pathways that are enshrined in the admissions criteria for the service: either time limited or permanent – which is agreed via the admissions criteria and a contract at the outset so as to ensure no young person is abandoned at HTS by any mainstream school.
3. Co- working with CAMHs, school nursing and therapies is essential, and full engagement will be made between Schools and Learning and SEND colleagues to ensure that the need and purpose is recognised and appropriately discharged.

Summary

The HTS provides critical and very valued support to our schools and for our young people in supporting a cohort of children who are vulnerable and not able to access mainstream for a given period, sometimes never. However, the remit of the service has become very blurred in recent years and the potential for it to grow and develop must now be explored and harnessed.

There are some immediate actions that can be taken to improve service delivery and efficiency, support development of the offer available and generally improve the experience for young people and for the staff at HTS. These include a devolved budget, appointment of a substantive Head teacher and SBM and defined admission criteria and recouping of funding from schools in circumstances where a young person is at the HTS for an extended period, particularly where the young person has EHCP funding.

Longer term consideration must and will be given to more complex issues including the suitability of the building and possible alternative locations as well as working with officers and CAMHs to determine how the service should evolve to meet both the needs of our young people and the rightly high aspirations of the Management Committee.

A further paper will be brought back to the next Schools Forum with an update on how this latter work is taking shape.

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**Agenda Item
10****Report Status**

For information/note X
For consultation & views
For decision

Report to Haringey Schools Forum – Thursday 7th December 2017**Report Title: Early Help Service update****Author: Gareth Morgan. Head of Service, Early Help and Prevention**

Contact: 0208 489 4931 Email: Gareth.morgan@haringey.gov.uk

Purpose:

1. To provide an update on the impact and range of support from the Early Help Service as part of wider early help provision

Recommendations:

1. That Forum members note the adaptation, progress and impact of Early Help as part of Haringey's continuum of support

1. Introduction and background

1.1 This paper provides Schools Forum with an up to date report on the coverage, reach and impact since October 2016 and November 2017 of the council's Early Help (EH) service in relation to non-statutory, family support provision.

1.2 In Haringey our Early Help and Targeted Response offer is a collaborative model for early intervention, with key partners involved, primarily through the TAF approach. The EH service provides holistic family support for families facing multiple and complex needs, below statutory threshold and incorporates the Troubled Families remit. The TRT provides intensive support for young people at the edge of care and vulnerable adolescents. This approach and the desire to work collaboratively with universal settings and providers to bridge the gap between universal need and statutory provision, is reflected in the **Working Together 2017** document. This guidance created an opportunity for Haringey to re-align internal resource to provide capacity to appropriately respond to those cases which do not need statutory intervention. To support delivery of the objectives of the EH service and continue to build a broad and accessible partnership offer in Haringey, a management reorganisation in April 2017 has increased our focus and resources to lead partnership development across the age-ranges (under 5's and school-age) including the development of C4C and a broader school's partnership offer.

2. We have recently had a Joint Targeted Area Inspection focussed on Neglect. We will receive the formal outcome letter in due course and will work together with partners to deliver an effective response to any issues raised.

3. Report.

Over the past 13 months the EH service has supported 927 families with 1732 children

2.1 This has been another busy year for the Early Help service focussing on strengthening our core family support offer and increasing collaboration with schools and settings as well as continuing to develop our skills and strengthen our partnership early help offer.

Four Family Support Workers have now been trained by the Autism team to provide additional support to families both in the school day and outside of the school day. A further group will be trained in early 2018. They offer support through early intervention to promote attendance in school and Early Years settings, and ensure carry-over of effective behaviour strategies to the home environment. Early help is working to minimise exclusions and maximise opportunities for young people in Haringey to be educated locally and in settings which are appropriate to their needs.

In the last 12 months, EH Supported 476 children who have been stepped down from statutory plans

2.2 Developing our partnership's reach, skills and capacity is key to enhancing the current early help provision and we now have effective links with Commissioning to support reducing the number of student exclusions, with Homes for Haringey to prevent evictions, with Youth Justice to prevent re-offending or first time entrants to the justice system and health in responding to family need identified through the Healthy Child Programme.

The re-referral rate for cases coming to EH from either direct EH contract or being Stepped-down from Social care and subsequently closed following EH intervention is low at 8% evidencing the value of EH support following the conclusion of a statutory plan

2.3 For schools the expanded partnership intervention offer, Prevention Through Partnership programme (PtP) has been distributed to all schools. PtP now offers nearly 60 partnership interventions, many applicable to all settings as well as a selection which are age relevant. At the heart of this offer, is the desire to help schools and Early Years settings draw upon a single source of multi-agency services, to support the families they work with, by providing a range of interventions available locally. This complements, rather than replaces the core offer from the Local Authority Early Help Service and is intended to support settings with building resilience to work together to deliver help at the earliest point and start to build a Team Around the Family that will reduce the risk of any escalation or need for further services later on. Link Family Support Workers remain the first point of contact for schools to speak directly to their FSW at the earliest signs of behaviour which may lead to exclusions, so that a collaborative plan can be developed to offer support in addressing issues within the family which may be the trigger for poor behaviour. This approach is strengthening relationships between schools and link FSW's.

In the last academic year family support workers have Attended 154 vulnerable children meetings and delivered or supported 47 workshops in school settings

2.4 Workshops have been delivered in numerous settings ranging from parenting, budgeting, housing and benefits and also delivered three, 12 week parenting programmes in partnership with local schools which have seen graduation rates of around 90% of participants. However, the EHPB is aware that parenting provision across the borough has not

been sufficiently visible or effectively coordinated to enable schools to nominate parents to appropriate support. As a result, a Parenting Coordinator has been appointed to map provision and provide strategic direction and operational delivery across the partnership to improve equitable access to evidence-based parental support programmes and initiate workforce development activity.

2.5 The 'Team Around the School' approach has been deployed in six schools across the borough with initial discussions about developing a response to issues in a further three settings. Current themes include CSE, young people at risk of Gangs involvement, Serious

Youth Violence/Knife crime in partnership with the Integrated Gangs Unit, police colleagues and specialist workers.

Working directly with a primary school to support development of a 'multi-agency SEMH hub' as a partnership initiative between the Service, School and CAMHS.

2.6 Alongside commissioning, we have established an Inclusion Panel to support schools with highest levels of fixed term and permanent exclusions. Meetings have been held at several secondary settings with high exclusion rates –and will be followed by Primary schools with similar pressures. The focus for this approach is vulnerable groups, including Children in Need, Children subject to Child Protection and Looked after Children who have one of more fixed term exclusion in the current or previous term; Children at all key stages who have experienced multiple fixed term exclusions impacting negatively on attainment; Children and young people known to at least one service (i.e. Youth Justice) and at high risk of exclusion, managed move or referral to alternative provision; Children and young people who have experienced 1 exclusion of over 10 days in the previous term. Working collaboratively we aim to enable students to remain in settings and support families to address underlying issues leading to poor behaviour.

The re-entry rate into Early Help following case closure between April 2017 and August 2017 is 10.26% evidencing the effectiveness of intervention and increasing family resilience.

2.7 We are keen to remind secondary schools to encourage students to take advantage of the range of activities and sessions delivered at Bruce Grove where experienced youth workers can offer targeted support and effective engagement with young people looking for positive activities and opportunities to socialise in a safe environment.

2.8 Currently the youth offer includes; open sessions promoting engagement and participation through sports, arts and technology with onsite support provided by Youth Practitioners and a Gang Worker. Targeted provisions are also delivered during Open Access including groups supporting girls including input from Safer London to raise awareness of CSE and Safetalk promoting Sexual Health; Safer London deliver a CSE drop in session every fortnight; a Sexual Health clinic every Friday; A music project designed to raise self-esteem, self-confidence and business enterprise skills. The POW (Protect Our Women) project is due to start in Feb 2018 to help young people create music and lyrics addressing VAWG; A Dance

project run by Community Leaders develops fitness and self-confidence. Sanjuro Martial Arts project works with young people with SEND, to support their social inclusion, participation and wellbeing every Wednesday.

Year 6 transition programme agreed with 24 primary schools for spring/summer 2018 led by the Early Help's Youth team supported by partner agencies following successful pilot in 4 schools in July 2017

2.9 We have established a strong and vibrant Youth Council with representatives from every secondary school and engagement across the primary sector. This group recently ran a very successful 'Reverse Stop and Search' project with the Police which was written up by a Youth Cabinet member and published in The Voice. Learning was promoted for both young people and Police including how the Police might adapt their approach to young people with autism during procedures.

2.10 Peer Mentoring training is underway with 12 committed young people as trainee Mentors who will then be matched with younger children who are members of BGYS. We are working closely with schools, locality teams and Social Care teams on this to identify a suitable cohort of children who would benefit. We also planning alongside Dr Nick Barnes to start a second programme in a Secondary School. Letters will be sent out seeking Expressions of Interest before Christmas for a programme to run early in 2018. The Service coordinated and delivered 'Routes to Work Careers Fair' which was a great success on 8/11/17 with over 70 Exhibitors and approx. 1,200 attendees (more than last year). See attached for breakdown of Exhibitors.

Early Help is working together with Project Futures (Mac-UK) to reduce knife carrying by young people. A pilot at Harris Academy, Philip Lane and St Paul's & All Hallows to be evaluated early 2018

4. Conclusion

3.1 It is widely acknowledged that early intervention provides both the most opportune time to address emerging issues and build individual and family resilience and thereby reduce demand on higher cost and acute services. Whilst the true impact of effective early help provision will only be seen over time as children and families in Haringey move forward from the challenges they face and reduction in demand against statutory and high costs services is evident. Additionally, family resilience will increase resulting in less reliance on formal support services. Early Help is continuing to flex and grow the direct service offer and encourage and enable partners to play a fuller role in the creation of a truly partnership early intervention offer across the borough. To maximise the impact of this work the support of settings and Schools Forum for the EH Service and wider partnership, which is jointly funded by the council, Schools Forum and DCLG through grant funding, will ensure that our collaborative approach delivers meaningful impact and evidence-based outcomes for vulnerable children, young people and families, which is recognised as contributing to improved attendance, behaviour, development and achievement in settings across the borough.

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**Agenda Item
11**



Report Status

For information/ note
 For consultation & views
 For decision

Report to Haringey Schools Forum – 17th January 2018

Report Title: Schools Forum Work Plan 2017-18 Academic Year.

Author:

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Purpose: To inform the Forum of the updated work plan for the 2017-18 academic year and provide members with an opportunity to add additional items.

Recommendations:

That the updated work plan for the 2017-18 academic year is noted.

1. Schools Forum

- 1.1. It is good practice for Schools Forum to maintain a work plan so that members ensure that key issues are considered in a robust and timely way.
- 1.2. Members of the Forum are asked to consider whether there are any additional issues that should be added to the work plan for the next Academic Year.
- 1.3. This work plan will be included on the agenda for each future meeting so that members are able to review progress and make appropriate updates.

Haringey Schools Forum - Work Plan Academic Year 2017-18

February 2018

- Update on Dedicated Schools Budget Strategy 2018-19
- 2017-18 Growth Fund Update
- Scheme for Financing Schools
- School Financial Value Standards
- The Schools Internal Audit Programme
- Update from Restructure Scrutiny Panel
- Update from working parties

May 2018

- Arrangements for the education of pupils with special educational needs
- Administrative arrangements for the allocation of central government grants paid to schools via the authority
- Early Help and Preventative services update
- Update from working parties

June 2018.

- Dedicated Schools Budget Outturn 2017-18
- Outcome of Internal Audit Programme 2017-18
- Forum Membership
- Work plan 2019-20
- Update from working parties

Discussion Paper for Joint Schools Forum Working Group meeting on 8th January 2018

A. Introduction

The Dedicated Schools Grant needs to close in balance for the current financial year (2017/18) and, related, to implement an agreed DSG Strategy in relation to future funding in 2018/19 and 2019/20.

In 2017/18, there is a projected overspend of up to £780k in the High Needs Block (though this could vary up or down by approximately £100k in either direction dependent on joint funding from adults and any unexpected out of borough placements that could still materialise in the spring term).

Since 2014/15, the DSG Reserves position has considerably diminished as set out in Appendix A, although there remain positive balances in each of the Schools and Early Years Blocks.

The purpose of this discussion paper is to agree an approach to delivering a balanced DSG for 2017/18.

B. DSG Closure 2017/18

1. In 2017/18 DSG remains legally a single statutory grant which has to balance overall. Longstanding practice in Haringey has been to treat the Early Years, Schools and High Needs Blocks as separate with their own reserves and commitments.
2. The Early Years Block is currently projecting to have £485k in reserves at year end, whilst the Schools Block is currently projecting to have £735k in reserves.
3. This means there is enough in reserves to cover the forecast overspend in the High Needs Block.
4. We need to agree the use of reserves to meet the projected High Needs Block overspend at the Joint Working Group meeting ahead of a decision by Schools' Forum at its meeting in January.

C. Issues for 2018/19:

1. Prima facie there is an additional £1.92M (**TABLE 1**) coming into Schools Block compared with previous modelled projections.

TABLE 1 – DSG ALLOCATIONS

Dedicated Schools Grant	2017-18 DSG allocations as at 10 Oct 2017	2018-19 Minimum DSG baseline allocations as at 4 August 2017	2018-19 Provisional NFF funding as at 14 Sep 2017	2018-19 Funding allocation as at 19 Dec 2017	2019-20 Illustrative NFF
	£M	£M	£M	£M	£M
Schools Block	195.29	192.53	193.38	195.30	194.24
Central School Services Block	0.00	3.14	3.07	3.09	2.99
Early Years Block	18.67	18.67	18.67	20.26	18.67
High Needs Block	35.85	35.47	35.82	35.80	35.93
Total DSG	249.81	249.81	250.94	254.46	251.82

2. It is worth noting that pupil numbers have changed from the 33,450 pupils identified in the October 2016 census, and used to model the various options, to the 33,742 pupils identified in the October 2017 census, which has been used to calculate Haringey's 2018-19 Schools Block funding by the ESFA, to the 33,883 pupils used to fund 2018-19 APT budgets. This increase in pupil numbers is a consequence of growing schools i.e. Harris Academy (Tottenham) which will have an increase in year group R and Year 7.
3. Whilst rates were reduced for most schools as they were overfunded in 2017-18 as a consequence of non application of transitional relief to the provisional rates figures provided for 2017-18 APT, there were 3 schools that required retrospective rates adjustment as they were underfunded in their previous years rates budgets. The schools affected are: Coleridge, Rokesly Infants and Stroud Green. These schools required an additional £274,636.18 in total.

TABLE 2 - 2018-19 APT SCHOOL BUDGET OPTIONS

Description	OPTION A 0.5 MFG 0.5% CAP 100% SCALING	OPTION B -1.5% MFG 2.5% CAP 40% SCALING	OPTION C 2.1% MFG 2.5% CAP 40% SCALING	OPTION D 2.1% MFG 2.5% CAP 40% SCALING
2018-19 Schools Block Dedicated Schools Grant allocation	£195,299,098.60	£195,299,098.60	£195,299,098.60	£195,299,098.60
Transfer 0.25% from Schools Block to High Needs Block	-£488,247.75	-£488,247.75	-£488,247.75	-£488,247.75
Growth Fund for 2018-19	-£382,335.62	-£547,889.24	-£600,000.00	-£1,100,000.00
Funding available for Schools Block Formula	£194,428,515.24	£194,262,961.62	£194,210,850.86	£193,710,850.86
APT Proforma requirement	£194,428,515.23	£194,262,961.62	£195,325,710.21	£195,325,710.21
Remainder	£0.00	-£0.00	-£1,114,859.35	-£1,614,859.35

Recommendation for School Budgets:

With the need to address the above issues and in order to allow us to provide schools with the maximum increase in funding at 0.5% MFG, Option A in the table above is the recommended option. This in effect results in a transfer of 0.25% from Schools Block to High Needs Block; sets MFG at 0.5%; caps schools at 0.5% with 100% scaling. The remainder will be set aside as Growth Fund which is £382,335.62.

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